IAP7 Rec'd PCT/PTO 03 F.E.B. 2006 Approved for use through 3/31/2007. OMB 0651-0021

#### TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 8371

ATTORNEY DOCKET NO.

70020.0070USWO

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

| CONCENTING A CODIMO   | 51011 511DE11 00 5.0.0. 301 1  | 10/20/11/                    |  |  |  |  |  |  |
|---|--|------------------------------|--|--|--|--|--|--|
| INTERNATIONAL APPLICATION NO.   | INTERNATIONAL FILING DATE  | PRIORITY DATE CLAIMED        |  |  |  |  |  |  |
| PCT/JP2004/011143   | August 4, 2004   | August 5, 2003               |  |  |  |  |  |  |
| TITLE OF INVENTION  ACRYLIC POLYMER AND RADIATION-SENSITIVE RESIN COMPOSITION   |  |                              |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US   |  |                              |  |  |  |  |  |  |
| JSR Corporation   |  |                              |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated Office (DO/EO/US) the following items and other information: |  |                              |  |  |  |  |  |  |
| 1.   This is a FIRST submission of item   | ☑ This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.                               |                              |  |  |  |  |  |  |
| 2.  This is a SECOND or SUBSEQU   | ☐ This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.                |                              |  |  |  |  |  |  |
| 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must          |  |                              |  |  |  |  |  |  |
| include items (5), (6), (9) and (2  | :1) indicated below.   |                              |  |  |  |  |  |  |
| 4.  The U.S. has been elected (Article  | ☐ The U.S. has been elected (Article 31).  |                              |  |  |  |  |  |  |
| 5. 🛛 A copy of the international application as filed (35 U.S.C. 371 (c)(2))  |  |                              |  |  |  |  |  |  |
| a. 🖾 is attached hereto (required only if not communicated to the International Bureau).                                |  |                              |  |  |  |  |  |  |
| b.  has been communicated by the International Bureau.  |  |                              |  |  |  |  |  |  |
| c. 🔲 is not required, as the application was filed in the United States Receiving Office (RO/US).                       |  |                              |  |  |  |  |  |  |
| 6. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2))                     |  |                              |  |  |  |  |  |  |
| a. 🛛 is attached hereto.  |  |                              |  |  |  |  |  |  |
| b. 🔲 has previously been sub  | mitted under 35 U.S.C. 154(d)(4).  |                              |  |  |  |  |  |  |
| 7. Amendments to the claims of the  | International Application under PCT Article 19 (   | (35 U.S.C. 371 (c)(3))       |  |  |  |  |  |  |
| a.   are attached hereto (req   | uired only if not communicated to the Internation  | nal Bureau).                 |  |  |  |  |  |  |
| b.  have been communicate   | ed by the International Bureau.  |                              |  |  |  |  |  |  |
| c.  have not been made; ho  | wever, the time limit for making such amendme  | ents has NOT expired.        |  |  |  |  |  |  |
| d. 🔯 have not been made and will not be made.   |  |                              |  |  |  |  |  |  |
| 8.  |  |                              |  |  |  |  |  |  |
| 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).   |  |                              |  |  |  |  |  |  |
| 10.   An English language translation of the annexes of the International Preliminary Examination Report under PCT      |  |                              |  |  |  |  |  |  |
| Article 36 (35 U.S.C. 371(c)(5)).   |  |                              |  |  |  |  |  |  |
| Items 11-20 below concern docume  | nt(s) or information included:   |                              |  |  |  |  |  |  |
| 11. 🛛 An Information Disclosure Statem  | ent under 37 CFR 1.97 and 1.98.  |                              |  |  |  |  |  |  |
| 12.   An assignment document for reco   | ording. A separate cover sheet in compliance w   | vith 37 CFR 3.28 and 3.31 is |  |  |  |  |  |  |
| included.   |  |                              |  |  |  |  |  |  |
| 13. 🛛 A preliminary amendment.  |  |                              |  |  |  |  |  |  |
| 14.   An Application Data Sheet under   | 37 CFR 1.76.   |                              |  |  |  |  |  |  |
| 15. A substitute specification.   |  |                              |  |  |  |  |  |  |
| 16. A power of attorney and/or chang  | e of address letter.   |                              |  |  |  |  |  |  |
| 17.   A computer-readable form of the   | 7.  A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. |                              |  |  |  |  |  |  |
| 18.   A second copy of the published Ir   | ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).                            |                              |  |  |  |  |  |  |
| 19.   A second copy of the English lang   | ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).  |                              |  |  |  |  |  |  |
| 20.  Other items or information:  |  |                              |  |  |  |  |  |  |
| Form PTO-1449 including a copy  | of the International Search Report and thirteen  | 1 (13) references.           |  |  |  |  |  |  |

### IAP9 Rec'd PCT/PTO 03 FEB 2006

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021

ATTORNEY DOCKET NO.

70020.00700567117 INTERNATIONAL APPLICATION NO.

PCT/JP2004/011.143

| The following fees har  | ve been submit   |   | CALCULATIONS                          | PTO USE                |                                       |     |  |
|---|--|---|---------------------------------------|------------------------|---------------------------------------|-----|--|
|   |  |   |                                       |                        |                                       |     |  |
| 22. Examination Fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the Int'l Preliminary Examination Report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)             |  |   |                                       | \$200.00               |                                       |     |  |
|   | All other situations  23. Search Fee (37 CFR 1.492(b))   |   |                                       |                        |                                       |     |  |
| If the written opinion of   | of the ISA/US o  | r the Int'l preliminary ex                                  |                                       | <b>\$0</b>             |                                       |     |  |
|   |  | laims satisfy provisions                                    |                                       | 4)                     |                                       |     |  |
| the USPTO as an Inf   |  | s been paid on the interi<br>rching Authority               | national application to               | \$100                  | \$400.00                              |     |  |
| International Search F  | Report prepared  | d by an ISA other than t                                    | he US and provided to                 | \$400                  |                                       |     |  |
| •   | sly communica  | ted to the US by the IB                                     |                                       |                        |                                       |     |  |
| All other situations  | All other situations \$500<br>TOTAL OF 21, 22, AND 23 =  |   |                                       | \$900.00               |                                       |     |  |
| Additional Fee for  | Additional Fee for specification and drawings filed in paper over 100 sheets (excluding  |   |                                       | <u> </u>               |                                       |     |  |
|   |  | with 37 CFR 1.821(c) o<br>FR 1.492(j)). ( <b>\$250.00</b> p |                                       |                        | \$0.00                                |     |  |
|   | Extra  | Number of each add  | · · · · · · · · · · · · · · · · · · · | RATE                   |                                       |     |  |
| Total Sheets  | Sheets   | fraction thereof (rou                                       | hereof (round up to whole no.)        |                        |                                       |     |  |
| 43-100  | /50=   | C   |                                       | x \$250                |                                       |     |  |
|   | Surcharge of \$130.00 for furnishing any of the search fee, examination fee or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). |   |                                       |                        | \$0.00                                |     |  |
| CLAIMS  |  | NUMBER FILED  | NUMBER EXTRA                          | RATE                   | <u></u>                               |     |  |
| Total Claims  |  | 8-20 =  | 0                                     | x \$50 =               | \$0.00                                |     |  |
| Independent Claims  MULTIPLE DEPE   | INDENT CLAIN   | 1-3 =   | 0                                     | x \$200 =<br>+ \$360 = | \$0.00<br>\$0.00                      |     |  |
| LI MULTIPLE DEPE  | INDENT CEAN  | <del>'''''''''''''''''''''''''''''''''''''</del>            | L OF ABOVE CALCU                      |                        | · · · · · · · · · · · · · · · · · · · |     |  |
| Applicant claims  | small entity stat  |   |                                       |                        | x 1.00                                |     |  |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.  SUBTOTAL =   |  |   | \$900.00                              |                        |                                       |     |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).   |  |   |                                       |                        |                                       |     |  |
| TOTAL NATIONAL FEE =  |  |   |                                       | \$900.00               |                                       |     |  |
| Fee for recording the enclosed assignment(s) (37 CFR 1.21(h)). The assignment(s) must  be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).  0 Properties x \$40.00/property =                                   |  |   |                                       |                        | \$0.00                                |     |  |
|   |  |   | TOTAL FEES EN                         |                        | \$900.00                              |     |  |
|   |  |   |                                       | ount to be refunded:   | \$                                    |     |  |
|   | Amount to be charged: \$   |   |                                       |                        |                                       | \$  |  |
| a.  | e amount of  | to cover the above  | fees is enclosed.                     |                        |                                       | . • |  |
| b.   Please charge Deposit Account No. 13-2725 in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed.  |  |   |                                       |                        |                                       |     |  |
| c.   The commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-2725. A duplicate copy of this sheet is enclosed.                         |  |   |                                       |                        |                                       |     |  |
| d.  Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |  |   |                                       |                        |                                       |     |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.                |  |   |                                       |                        |                                       |     |  |
| SEND ALL CORRESPONDENCE TO:   |  |   |                                       |                        |                                       |     |  |
| Merchant & G  | erchant & Gould  |   |                                       |                        |                                       |     |  |
| P.O. Box 2903   | SIGNATURE  |   |                                       |                        |                                       |     |  |
|   |  |   |                                       |                        |                                       |     |  |
|   |  |   |                                       |                        |                                       |     |  |
| Telephone. (202) 320-0300   |  |   |                                       |                        |                                       |     |  |
| Facsimile: (20  | 12) 326-07   | 7 / ð   | 47,258                                |                        | February 3                            |     |  |
|   |  |   | REGISTRATION NU                       | IMBER                  | DATE                                  |     |  |

## IAP7 Rec'dPCT/PTO 03 FEB 2006

#### TRANSMITTAL FORM

# FOR NATIONAL STAGE APPLICATIONS FILED UNDER 35 U.S.C. §371

ATTORNEY DOCKET NO.

70020.0070USWO

BASED ON INTERNATIONAL APPLICATION NO.

PCT/JP2004/011143

INTERNATIONAL FILING DATE

August 4, 2004

INVENTOR(S)

Kouichi FUJIWARA, et al.

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

To be assigned

TITLE OF APPLICATION

ACRYLIC POLYMER AND RADIATION-SENSITIVE RESIN COMPOSITION

| **   |   |         |                  |               |              |  |  |  |
|--|---|---------|------------------|---------------|--------------|--|--|--|
| ADDRESS TO:  | Mail Stop: PCT Commissioner for Patents P.O. BOX 1450 ALEXANDRIA, VA 22313-1450 |         |                  |               |              |  |  |  |
|  | ENCLO   | SURES   |                  |               |              |  |  |  |
| <ul> <li>☑ Transmittal Form For National Stage Applications Filed Under 35 U.S.C. §371 (In Duplicate)</li> <li>☑ Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Submission Under 35 U.S.C. §371 (In Duplicate)</li> <li>☑ Copy of the International Application as Filed {35 U.S.C. §371(c)(2)}</li> <li>☑ English Language Translation of the International Application as Filed {35 U.S.C. §371(c)(2)}</li> <li>☑ Copy of International Application as Published (Publication Number WO2005/012374 A1)</li> <li>☑ International Search Report</li> <li>☑ Information Disclosure Statement</li> <li>☑ PTO Form 1449 (1 Sheet)</li> <li>☑ Cited Documents (13)</li> <li>☑ Preliminary Amendment</li> <li>☑ Return Postcard</li> </ul> |   |         |                  |               |              |  |  |  |
| Please charge Deposit Account No. 13-2725 in the amount of \$900.00 to cover any required fees. In the event any variance exists between this amount and the Patent Office charges for filing the above-noted documents, including any fees required under 35 CFR 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 13-2725. Further, if these papers are not considered timely filed, then a request is hereby made under 37 CFR 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.  |   |         |                  |               |              |  |  |  |
| ☑ The address a<br>Name<br>Address   | CORRESPOND ssociated with Customer Number: 23552                                | DENCE A | DDRESS  OR       | pondence addı | ress below.  |  |  |  |
| City   |   |         | Zip Code         |               |              |  |  |  |
|  |   |         |                  |               |              |  |  |  |
| NAME St  | teven B. Kelber   |         | REGISTRATION NO. | 30,073        |              |  |  |  |
| SIGNATURE  | 164   | DATE    | February 3, 2006 | TELEPHONE     | 202 326-0300 |  |  |  |
| NAME CI  | hristopher W. Raimund   |         | REGISTRATION NO. | 47,258        |              |  |  |  |